

RECEIVED
CENTRAL FAX CENTER

Attorney Docket No. 3000.2

NOV 08 2006

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

O. James Peterson IV

Art Unit: 3692

Serial No. 09/896,068

Examiner: Harbeck, Timothy

Filed: June 29, 2001

For: A METHOD OF PROCURING FINANCIAL PRODUCTS

VIA FACSIMILE
571-273-8300
TOTAL PAGES: 5RESPONSECommissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

This Response is being filed in reply to the Office Action
dated October 20, 2006.

Remarks begin on page 2 of this paper.

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and
Trademark Office on November 8, 2006.

Deborah L. Smith
Deborah L. Smith

- 1 -